



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tanzer

Docket No.: A01199A

Application No.: 10/025,706

Group No.: 1714

Filed: December 26, 2002

Examiner: Niland, Patrick

Dennis

For: Method of Improving Viscosity Stability Upon Addition of a Colorant Component

REQUEST TO CORRECT INVENTORSHIP UNDER 37 CFR 1.48(a)

Statement of Lack of Deceptive Intent for Inventor Dennis Paul Lorah.

I, Dennis Paul Lorah, state that the inventorship error in the above-identified application occurred without deceptive intention on my part.

*Dennis Paul Lorah*

Dennis Paul Lorah



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tanzer et al.

Docket No.: A01199a

Application No.: 10/025,706

Group No.: 1714

Filed: December 26, 2001

Examiner: Niland, Patrick Dennis

For: Method of Improving Viscosity Stability upon Addition of A Colorant Component

REQUEST TO CORRECT INVENTORSHIP UNDER 37 CFR 1.48(a)

Commissioner for Patents

Alexandria, VA. 22313-1450

Sir:

Applicants respectfully request a correction to the inventorship in the above-identified patent application under 37 CFR 1.48(a). In particular, the amendment of the inventorship requires the addition of the following person as an inventor:

Dennis Paul Lorah

The inventor, Dennis Paul Lorah has received a true copy of the instant specification, and has read and understands the instant specification.

Enclosed, please find:

1. A Statement from Mr. Lorah stating that the error in inventorship occurred without any deceptive intention on his part.
2. A Declaration and Power Of Attorney by inventor Dennis Paul Lorah as required by § 1.63.
3. The processing fee (in duplicate) of \$130 as set forth in § 1.17(i).

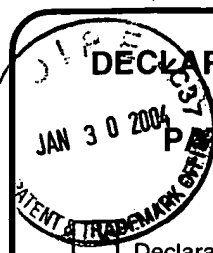
02/04/2004 WABDELRI 00000044 181850 10025706

01 FC:1460 130.00 DA

Respectfully submitted,

Andrew E. C. Merriam  
Attorney for Applicants  
Registration No. 47,268  
Telephone (215) 592-6758

Date: January 20, 2004  
ROHM AND HAAS COMPANY  
100 Independence Mall West  
Philadelphia, PA 19106-2399  
Telephone : (215) 592-6758

 <b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b> <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	A01199A
	<b>First Name of Inventor</b>	Joseph David Tanzer
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	10/025,706
	<b>Filing Date</b>	December 26, 2001
	<b>Art Unit</b>	1714
	<b>Examiner Name</b>	Niland, Patrick Dennis

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD OF IMPROVING VISCOSITY STABILITY UPON ADDITION OF A COLORANT COMPONENT

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) 12/26/01 as United States Application Number or PCT International

Application Number 10/025,706 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventors or plant breeders rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 1]

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number or Bar Code Label **21898** OR ☐ Correspondence address below

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City

State

ZIP

Country

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR

☐ A petition has been filed for this unsigned inventor

Given Name **Joseph David**  
(first and middle [if any])

Family Name **Tanzer**  
or Surname

Inventor's  
Signature

*J David Tanzer*

Date  
**1/22/04**

Residence: City **Fort Washington**

State **PA**

Country **USA**

Citizenship **US**

Mailing Address **410 Madison Avenue**

City **Fort Washington**

State **PA**

ZIP **19034**

Country **USA**

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name **Jerome Michael**  
(first and middle [if any])

Family Name **Harris**  
or Surname

Inventor's  
Signature

*Jerome Michael Harris*

Date  
**1/22/04**

Residence: City **Penllyn**

State **PA**

Country **USA**

Citizenship **US**

Mailing Address **1101 Township Line Road**

City **Penllyn**

State **PA**

ZIP **19422**

Country **USA**

☒ Additional inventors are being named on the 3 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

**DECLARATION****ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**  
Page 3 of 3**Name of Additional Joint Inventor, if any:**☐ A petition has been filed for this unsigned inventor

Given Name Henry Jude

Family Name Eichman  
or SurnameInventor's  
Signature*Henry Jude Eichman*

Date 1/23/04

Residence: City Havertown

State PA

Country USA

Citizenship US

**Mailing Address**

Mailing Address 45 Fairfield Road

City Havertown

State PA

ZIP 19083

Country USA

**Name of Additional Joint Inventor, if any:**☐ A petition has been filed for this unsigned inventor

Given Name Homayoun

Family Name Jamasbi  
or SurnameInventor's  
Signature*Homayoun Jamasbi*

Date 1/23/04

Residence: City Blue Bell

State PA

Country USA

Citizenship US

**Mailing Address**

Mailing Address 1207-A Skippack Pike

City Blue Bell

State PA

ZIP 19422

Country USA

**Name of Additional Joint Inventor, if any:**☐ A petition has been filed for this unsigned inventor

Given Name Dennis Paul

Family Name Lorah  
or SurnameInventor's  
Signature*Dennis Paul Lorah*

Date 1/23/04

Residence: City Lansdale

State PA

Country USA

Citizenship US

**Mailing Address**

Mailing Address 1692 Heebner Way

City Lansdale

State PA

ZIP 19446

Country

Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (02-01)

Approved for use through 10/31/2002. OMB 0651-0035  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

Application Number	10/025,706
Filing Date	December 26, 2001
First Named Inventor	Joseph D. Tanzer
Title	Method of Improving Viscosity...
Group Art Unit	1714
Examiner Name	Niland, Patrick Dennis
Attorney Docket Number	A01199A

I hereby appoint:

☒ Practitioners at Customer Number

21898

Place Customer  
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☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

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☐ Practitioners at Customer Number

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☐ Firm or  
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

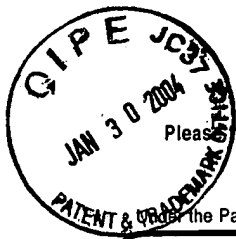
**SIGNATURE of Applicant or Assignee of Record**

Name	Joseph David Tanzer
Signature	<i>Joseph D. Tanzer</i>
Date	1/22/04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 5 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Address					
Address					
City		State		Zip	
Country					
Telephone		Fax			

I am the:

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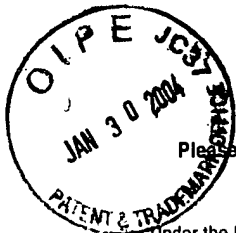
### SIGNATURE of Applicant or Assignee of Record

Name	Jerome Michael Harris
Signature	
Date	1-22-04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

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Application Number	10/025,706
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First Named Inventor	Joseph D. Tanzer...
Title	Method of Improving Viscosity...
Group Art Unit	1714
Examiner Name	Niland, Patrick Dennis
Attorney Docket Number	A01199A

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☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

Henry Jude Eichman

Signature

*Henry Jude Eichman*

Date

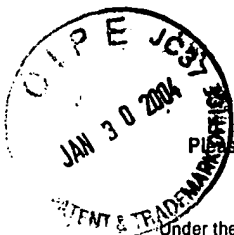
1/23/04

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☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

Homayoun Jamasbi

Signature

*Homayoun Jamasbi*

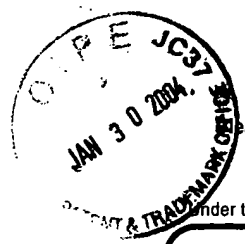
Date

1/23/04

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<b>POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	<b>Application Number</b>	10/025,706
	<b>Filing Date</b>	December 26, 2001
	<b>First Named Inventor</b>	Joseph D. Tanzer
	<b>Title</b>	Method of Improving Viscosity...
	<b>Group Art Unit</b>	1714
	<b>Examiner Name</b>	Niland, Patrick Dennis
	<b>Attorney Docket Number</b>	A01199A

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☒ Practitioners at Customer Number 21898 → 

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<input type="checkbox"/> Firm or Individual Name			
Address			
Address			
City	State	Zip	
Country			
Telephone	Fax		

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name	Dennis Paul Lorah
Signature	<i>Dennis Paul Lorah</i>
Date	1/23/04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below".

☒ \*Total of 5 forms are submitted.



1714 \$

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 130.00

## Complete if Known

Application Number	10/025,706
Filing Date	12/26/01
First Named Inventor	Tanzer et al
Examiner Name	Niland, Patrick Dennis
Art Unit	1714
Attorney Docket No.	A01199A

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

18-1850

Rohm and Haas Company

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below	Fee Paid
Independent	Multiple Dependent	-20** =	-3** =		

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity / Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) Request to correct Inventor 130.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 130.00

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Andrew E. C. Merriam	Registration No. (Attorney/Agent)	47,268	Telephone	215-592-6758
Signature		Date	01/28/04		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tanzer et al.

Docket No.: A01199a

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Group No.: 1714

Filed: December 26, 2001

Examiner: Niland, Patrick Dennis

For: Method of Improving Viscosity Stability upon Addition of A Colorant Component

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-1450 on the date indicated next to my signature below:

Request to Correct Inventorship under 37 CFR 1.48(a)  
Statement from New Inventor Dennis Paul Lorah  
Declaration and Power of Attorney  
Fee Sheet  
Return Receipt Postcard

Jan. 28, 2004  
Date

Shelley Dalney  
Signature